



April 20, 2023

The Secretary, Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001.  
Maharashtra, India.  
Scrip Code: 500470

The Manager, Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor, Plot No. C/1,  
G Block, Bandra-Kurla Complex, Bandra (E),  
Mumbai - 400 051.  
Maharashtra, India.  
Symbol: TATASTEEL

Dear Madam, Sir(s),

**Certificates under Regulation 40(9) read with 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed certificates dated April 20, 2023 for the financial year ended March 31, 2023, from Mr. P. N. Parikh of Parikh & Associates, Practicing Company Secretaries.

These certificates have been issued in terms of Regulation 40(9) read with Regulation 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended.

This is for your information and records.

Thanking you.

Yours faithfully,  
**Tata Steel Limited**

**Parvatheesam Kanchinadham**  
Company Secretary &  
Chief Legal Officer (Corporate & Compliance)

Encl: As above

**TATA STEEL LIMITED**

Registered Office Bombay House 24 Homi Mody Street Fort Mumbai 400 001 India  
Tel 91 22 6665 8282 Fax 91 22 6665 7724 Website [www.tatasteel.com](http://www.tatasteel.com)  
Corporate Identity Number L27100MH1907PLC000260

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED MARCH 31, 2023.**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. April 01, 2019.

Further, SEBI vide gazette notification dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to TATA STEEL LIMITED ('the Company') maintained and provided electronically by TSR Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the financial year ended March 31, 2023.

1. b. The Company has delivered during year ended on March 31, 2023, letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities, deletion of name, transposition of securities and sub-division (split) received during the financial year ended March 31, 2023, within the prescribed time, barring one case of deletion of name where letters of confirmation were delivered within the extended timeline provided under SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/26 dated February 25, 2022. As informed to us there were no requests relating to, consolidation, renewal and exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

Place: Mumbai  
Date: April 20, 2023

Signature:

**For Parikh & Associates**  
Company Secretaries

Pravinchandra  
a  
Nahalchand  
Parikh

Digitally signed by  
Pravinchandra  
Nahalchand Parikh  
Date: 2023.04.20  
11:22:49 +05'30'

P. N. Parikh  
FCS No: 327 CP No: 1228  
UDIN: F000327E000149179  
PR No.: 1129/2021



**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED MARCH 31, 2023.**

Based on the information/details provided to us by Tata Steel Limited (“the Company”), we hereby certify that since all the Debentures issued by the Company are in Demat form, no physical debenture certificates were required to be delivered for the year ended March 31, 2023 pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

For **Parikh & Associates**

Pravinchandra  
a Nahalchandra  
Parikh

Digitally signed by  
Pravinchandra  
Nahalchandra Parikh  
Date: 2023.04.20  
11:27:27 +05'30'

Place: Mumbai  
Date: April 20, 2023

Name of Company Secretary: **P.N. Parikh**  
**FCS: 327 CP: 1228**  
**Peer Review no. - 1129/2021**  
**UDIN: F000327E000149300**