

Format of holding of specified securities

- 1 Name of Listed Entity: **Tata Steel Limited**
- 2 Scrip Code/Name of Scrip/Class of Security **500470/890144/TATASTEEL/TAT**
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending - **24-03-2021**
- b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

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Table 1 - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of Shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
																		(X)
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	10	41,43,58,657	0	0.00	41,43,58,657	34.41	41,43,58,657	0	41,43,58,657	34.57	0.00	34.41	0.00	0.00	1,39,80,000	3.37	41,43,58,657
(B)	Public	9,07,471	77,20,82,055	73,87,547	1,02,98,740	78,97,68,342	65.59	78,23,80,795	18,49,882	78,42,30,677	65.43	0.00	65.59	0.00	0.00	NA		77,48,49,337
(C)	Non Promoter- Non Public	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0
(C1)	Shares underlying DRs	0	0	0.00	0.00	0	N.A	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0
(C2)	Shares held by Employee Trusts	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0
	Total	9,07,481	1,18,64,40,712	73,87,547	1,02,98,740	1,20,41,26,999	100.00	1,19,67,39,452	18,49,882	1,19,85,89,334	100.00	0.00	100.00	0.00	0.00	1,39,80,000	1.16	1,18,92,07,994

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
							(IX) No of Voting Rights					Total as a % of Total Voting rights	(XII) As a % of total Shares held		(XIII) As a % of total shares held		
							Class X	Class Y	Total				(a)	(b)	(a)		(b)
(1) Indian																	
(a) Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	
(b) Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	
(c) Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	
(d) Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	
(i) Bodies Corporate	10	41,43,58,657	0	0	41,43,58,657	34.41	41,43,58,657	0	41,43,58,657	34.57	0	34.41	0	0.00	1,39,80,000	3.37	41,43,58,657
(ii) TATA SONS PRIVATE LIMITED	1	39,65,08,142	0	0	39,65,08,142	32.93	39,65,08,142	0	39,65,08,142	33.08	0	32.93	0	0.00	1,39,80,000	3.53	39,65,08,142
(iii) TATA MOTORS LIMITED	1	54,96,295	0	0	54,96,295	0.46	54,96,295	0	54,96,295	0.46	0	0.00	0	0.00	0	0.00	54,96,295
(iv) TATA INVESTMENT CORPORATION LIM	1	41,98,494	0	0	41,98,494	0.35	41,98,494	0	41,98,494	0.35	0	0.35	0	0.00	0	0.00	41,98,494
(v) TATA CHEMICALS LTD	1	30,90,051	0	0	30,90,051	0.26	30,90,051	0	30,90,051	0.26	0	0.26	0	0.00	0	0.00	30,90,051
(vi) EKHART INVESTMENTS LIMITED	1	22,25,975	0	0	22,25,975	0.18	22,25,975	0	22,25,975	0.19	0	0.18	0	0.00	0	0.00	22,25,975
(vii) RUJUVALIKA INVESTMENTS LIMITED**	1	11,68,393	0	0	11,68,393	0.10	11,68,393	0	11,68,393	0.10	0	0.10	0	0.00	0	0.00	11,68,393
(viii) TATA INDUSTRIES LIMITED	1	10,42,545	0	0	10,42,545	0.09	10,42,545	0	10,42,545	0.09	0	0.09	0	0.00	0	0.00	10,42,545
(ix) TATA MOTORS FINANCE LIMITED	1	6,09,511	0	0	6,09,511	0.05	6,09,511	0	6,09,511	0.05	0	0.05	0	0.00	0	0.00	6,09,511
(x) TATA CAPITAL LTD	1	16,740	0	0	16,740	0.00	16,740	0	16,740	0.00	0	0.00	0	0.00	0	0.00	16,740
(xi) TITAN COMPANY LIMITED	1	2,511	0	0	2,511	0.00	2,511	0	2,511	0.00	0	0.00	0	0.00	0	0.00	2,511
(xii) SIR DORABJI TATA TRUST ##	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(xiii) SIR RATAN TATA TRUST##	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub-Total (A)(1)	10	41,43,58,657	0	0	41,43,58,657	34.41	41,43,58,657	0	41,43,58,657	34.57	0	34.41	0	0.00	1,39,80,000	3.37	41,43,58,657
(2) Foreign																	
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(b) Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(c) Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
(e) Any Other (specify Name (xyz...))	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	10	41,43,58,657	0	0	41,43,58,657	34.41	41,43,58,657	0	41,43,58,657	34.57	0	34.41	0	0.00	1,39,80,000	3.37	41,43,58,657

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

** 11,68,393 Ordinary Shares held by Rujvalika Investments Limited (a wholly owned subsidiary of Tata Steel Limited w.e.f May 8, 2015), do not carry any voting rights.

"During the quarter ended June 30, 2018, the two Promoter Group Companies - Sir Ratan Tata Trust and Sir Dorabji Tata Trust had sold their entire holdings in Tata Steel Limited."

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholder	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form										
							No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (not applicable)		As a % of total shares held (Not applicable)									
							(I)	(II)	(III)									(IV)	(V)	(VI) = (V)+(VI)	As a % of (A+B+C) (VII)	(X)		(XI)		(XIV)
																						Class X	Class Y	Total	(a)	
(1) Institutions																										
i Mutual Funds/UTI	79	13,51,18,321	4,36,076	0	13,55,54,397	11.26	13,51,18,321	1,09,196	13,52,27,517	11.28	0	0.00	0.00	0.00	NA	13,55,50,584										
i ICICI Prudential - Various Mutual Funds	1	3,02,90,473	0	0	3,02,90,473	2.52	3,02,90,473	0	3,02,90,473	2.53	0	0.00	0.00	0.00	NA	2,50,74,682										
ii SBI - Various Mutual Funds	1	1,41,32,424	0	0	1,41,32,424	1.17	1,41,32,424	0	1,41,32,424	1.18	0	0.00	0.00	0.00	NA	1,41,32,424										
iii HDFC Trustee Company Limited	1	1,38,71,313	0	0	1,38,71,313	1.15	1,38,71,313	0	1,38,71,313	1.16	0	0.00	0.00	0.00	NA	1,33,32,339										
iv Nippon Life India Trustee Ltd	1	1,22,77,692	0	0	1,22,77,692	1.02	1,22,77,692	0	1,22,77,692	1.02	0	0.00	0.00	0.00	NA	1,22,77,692										
(b) Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00	0.00	NA	0										
(c) Alternate Investment Funds	9	2,43,386	0	0	2,43,386	0.02	2,43,386	0	2,43,386	0.02	0	0.00	0.00	0.00	NA	2,43,386										
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00	0.00	NA	0										
(e) Foreign Portfolio Investors	629	22,14,58,736	2,98,568	0	22,17,57,304	18.42	22,14,58,736	74,763	22,15,33,499	18.48	0	0.00	0.00	0.00	NA	22,17,42,609										
i Government Pension Fund Global	1	1,34,24,393	0	0	1,34,24,393	1.11	1,34,24,393	0	1,34,24,393	1.12	0	0.00	0.00	0.00	NA	1,34,24,393										
(f) Financial Institutions/ Banks	168	5,34,780	332	0	5,35,112	0.04	5,34,780	83	5,34,863	0.04	0	0.00	0.00	0.00	NA	3,97,173										
(g) Insurance Companies #	37	16,39,82,856	0	0	16,39,82,856	13.62	16,39,82,856	0	16,39,82,856	13.68	0	0.00	0.00	0.00	NA	16,39,81,856										
(h) Life Insurance Corporation of India	1	10,79,72,974	0	0	10,79,72,974	8.97	10,79,72,974	0	10,79,72,974	9.01	0	0.00	0.00	0.00	NA	10,79,72,974										
(i) SBI Life Insurance Co. Ltd	1	1,29,08,341	0	0	1,29,08,341	1.07	1,29,08,341	0	1,29,08,341	1.08	0	0.00	0.00	0.00	NA	1,29,08,341										
(j) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00	0.00	NA	0										
(k) Foreign Portfolio Investments - Individual	1	892	0	0	892	0.00	892	0	892	0.00	0	0.00	0.00	0.00	NA	892										
Sub-Total (B)(1)	923	52,13,38,971	7,34,976	0	52,20,73,947	43.38	52,13,38,971	1,84,042	52,15,23,013	43.51	0	0.00	0.00	0.00	NA	52,18,96,500										
(2) Central Government/ State Government(s)/ President of India	9	30,41,017	0	0	30,41,017	0.25	30,41,017	0	30,41,017	0.25	0	0.00	0.00	0.00	NA	29,39,610										
Sub-Total (B)(2)	9	30,41,017	0	0	30,41,017	0.25	30,41,017	0	30,41,017	0.25	0	0.00	0.00	0.00	NA	29,39,610										
(3) Non-Institutions																										
(a) Individuals -	8,76,010	19,37,83,776	64,17,288	0	20,02,01,064	16.63	19,37,83,776	16,06,929	19,50,80,705	16.30	0	0.00	0.00	0.00	NA	18,60,13,927										
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	8,76,023	16,65,07,322	27,45,943	0	16,62,53,165	13.97	16,65,07,322	6,87,576	16,61,94,898	13.87	0	0.00	0.00	0.00	NA	15,49,14,366										
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	387	2,82,76,454	36,71,445	0	3,19,47,899	2.65	2,82,76,454	9,19,353	2,91,95,807	2.44	0	0.00	0.00	0.00	NA	3,10,99,561										
(b) NBFCs registered with RBI	18	43,173	523	0	43,696	0.00	43,173	129	43,302	0.00	0	0.00	0.00	0.00	NA	43,696										
(c) Employee Trusts	1	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00	0.00	NA	0										
(d) Overseas Depositories (holding DRs) (balancing figure)	1	0	0	0	1,02,98,740	0.86	1,02,98,740	0	1,02,98,740	0.86	0	0.00	0.00	0.00	NA	1,02,98,740										
i Citibank N.A. New York, Nyadr Dept	1	0	0	0	1,02,98,740	0.86	1,02,98,740	0	1,02,98,740	0.86	0	0.00	0.00	0.00	NA	1,02,98,740										
ii Any Other (specify)	30,510	5,38,75,118	2,34,760	0	5,41,09,878	4.49	5,38,75,118	58,763	5,39,33,900	4.50	0	0.00	0.00	0.00	NA	5,36,56,864										
i NRI - With and without Repatriation	13,715	64,22,269	32,046	0	64,54,315	0.54	64,22,269	8,024	64,30,293	0.54	0	0.00	0.00	0.00	NA	62,19,934										
ii Trust	76	1,90,95,068	1,224	0	1,90,96,292	1.59	1,90,95,068	306	1,90,95,374	1.59	0	0.00	0.00	0.00	NA	1,90,80,399										
a NPS Trust - Various Trust Accounts	1	1,35,62,281	691	0	1,35,63,172	1.13	1,35,62,281	220	1,35,62,504	1.13	0	0.00	0.00	0.00	NA	1,35,63,172										
iii IEPF ACCOUNT	1	43,91,809	0	0	43,91,809	0.36	43,91,809	0	43,91,809	0.37	0	0.00	0.00	0.00	NA	43,91,809										
iv HUF	12,779	62,04,669	1,04,581	0	63,09,250	0.52	62,04,669	26,187	62,30,856	0.52	0	0.00	0.00	0.00	NA	63,07,556										
v Clearing Member/Clearing House	560	58,46,676	39,750	0	58,86,426	0.49	58,46,676	9,953	58,56,629	0.49	0	0.00	0.00	0.00	NA	58,86,626										
vi LLP/LLP-DR	122	15,92,291	56,968	0	16,39,278	0.14	15,92,291	14,272	15,96,562	0.13	0	0.00	0.00	0.00	NA	16,39,278										
vii Director and Their Relatives	5	2,04,057	0	0	2,04,057	0.02	2,04,057	0	2,04,057	0.02	0	0.00	0.00	0.00	NA	2,04,057										
viii Bodies Corporate	3,243	96,69,861	0	0	96,69,861	0.82	96,69,861	0	96,69,861	0.82	0	0.00	0.00	0.00	NA	96,68,864										
ix Foreign National	4	408	0	0	408	0.00	408	0	408	0.00	0	0.00	0.00	0.00	NA	408										
x Foreign Bodies - DR	4	2,55,716	0	0	2,55,716	0.02	2,55,716	0	2,55,716	0.02	0	0.00	0.00	0.00	NA	2,55,716										
xi National	1	2,105	161	0	2,266	0.00	2,105	40	2,145	0.00	0	0.00	0.00	0.00	NA	2,266										
xii Overseas Corporate Bodies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0.00	0.00	NA	0										
Sub-Total (B)(3)	9,06,539	24,77,02,067	66,52,571	1,02,98,740	26,46,53,378	21.99	25,80,00,807	16,65,940	25,66,66,647	21.66	0	0.00	0.00	0.00	NA	25,00,13,227										
Total Public Shareholding	9,07,471	77,20,82,655	73,87,547	1,02,98,740	78,97,68,342	65.59	78,23,80,785	18,49,882	78,42,30,677	65.43	0	0.00	0.00	0.00	NA	77,48,49,337										

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %)

TATA AIA LIFE INSURANCE COMPANY LIMITED is not considered part of Promoter Group holds 15,95,907 Ordinary Shares representing 0.13% of the paidup Ordinary Share Capital. Accordingly, holding of Tata AIA Life Insurance Company Ltd. is included under Public Shareholding under

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in dematerialized suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form				
									(IX)						No. of Voting Rights	Total as a % of Total Voting rights	(X)			(XII)		(XIII)	
									No of Voting Rights								As a % of (A+B+C2) (VIII)	No.		As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)	
									Class X	Class Y	Total	(a)											(b)
(1)	Custodian/DR Holder Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00		NA						
(a)																							
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00		0						
	Total Non-Promoter- Non Public		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00		NA						

Note

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to disclose name of all holders holding more than 1% of total number of shares
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,