

**Format of holding of specified securities**

- |   |   |                                 |
|---|---|---------------------------------|
| 1 | Name of Listed Entity:  | <b>Tata Steel Limited</b>       |
| 2 | Scrip Code/Name of Scrip/Class of Security                                  | <b>BSE: 500470/890144</b>       |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | <b>NSE: TATASTEEL/TATASTLPP</b> |
|   | a. If under 31(1)(b) then indicate the report for Quarter ending -          | <b>30-Sep-20</b>                |
|   | b. If under 31(1)(c) then indicate date of allotment/extinguishment         | <b>NA</b>                       |

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	-
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	-
4	Whether the Listed Entity has any shares in locked-in?	-	-
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form												
																		(IX)			(XII)	(XIII)							
								(I)	(II)	(III)			(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)		(VIII) As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	No.	As a % of total Shares held	No.	As a % of total Shares held	(XIV)
																			Class eg: X	Class eg: y	Total								
(A)	Promoter & Promoter Group	10	37,54,15,658	3,89,42,999	0.00	41,43,58,657	34.41	37,54,15,658	97,51,575	38,51,67,233	33.61	0.00	34.41	0.00	0.00	1,39,80,000	3.37	41,43,58,657											
(B)	Public	9,65,898	73,97,85,291	3,86,93,789	1,12,89,262	78,97,68,342	65.59	75,10,74,553	96,29,160	76,07,03,713	66.39	0.00	65.59	0.00	0.00	NA		77,42,26,427											
(C)	Non Promoter- Non Public	0	NA	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0											
(C1)	Shares underlying DRs	0	0	0.00	0.00	0	N.A	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0											
(C2)	Shares held by Employee Trusts	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0											
	Total	9,65,908	1,11,52,00,949	7,76,36,788	1,12,89,262	1,20,41,26,999	100.00	1,12,64,90,211	1,93,80,735	1,14,58,70,946	100.00	0.00	100.00	0.00	0.00	1,39,80,000	1.16	1,18,85,85,084											



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form					
							(IX)						(X)	(XI) = (VII)+(X) as a % of A+B+C2		(XII)		(XIII)		
							No of Voting Rights									Total as a % of Total Voting rights	No.	As a % of total Shares held	No.	As a % of total shares held
							Class X	Class Y	Total											
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)	(XIII)	(XIV)						
(1) Indian																				
(a) Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00					
(b) Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00					
(c) Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00					
(d) Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00					
(i) Bodies Corporate	10	375,415,658	38,942,999	0	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	34.41	0	0.00	13,980,000	3.37	414,358,657				
(ii) TATA SONS PRIVATE LIMITED	1	358,677,332	37830810	0	396,508,142	32.93	358,677,332	9,473,080	368,150,412	32.13	32.93	0	0.00	13,980,000	3.53	396,508,142				
(iii) TATA MOTORS LIMITED	1	5,141,696	354599	0	5,496,295	0.46	5,141,696	88,793	5,230,489	0.46	0.46	0	0.00	0	0.00	5,496,295				
(iii) TATA INVESTMENT CORPORATION LIMITED	1	3,927,625	270869	0	4,198,494	0.35	3,927,625	67,827	3,995,452	0.35	0.35	0	0.00	0	0.00	4,198,494				
(iv) TATA CHEMICALS LTD	1	2,890,693	199358	0	3,090,051	0.26	2,890,693	49,920	2,940,613	0.26	0.26	0	0.00	0	0.00	3,090,051				
(v) EWART INVESTMENTS LIMITED	1	2,082,364	143611	0	2,225,975	0.18	2,082,364	35,961	2,118,325	0.18	0.18	0	0.00	0	0.00	2,225,975				
(vi) RUJUVALIKA INVESTMENTS LIMITED**	1	1,168,393	0	0	1,168,393	0.10	1,168,393	0	1,168,393	0.10	0.10	0	0.00	0	0.00	1,168,393				
(vii) TATA INDUSTRIES LIMITED	1	939,358	103187	0	1,042,545	0.09	939,358	25,838	965,196	0.08	0.09	0	0.00	0	0.00	1,042,545				
(viii) TATA MOTORS FINANCE LIMITED	1	570,188	39323	0	609,511	0.05	570,188	9,846	580,034	0.05	0.05	0	0.00	0	0.00	609,511				
(ix) TATA CAPITAL LTD	1	15,660	1080	0	16,740	0.00	15,660	270	15,930	0.00	0.00	0	0.00	0	0.00	16,740				
(x) TITAN COMPANY LIMITED	1	2,349	162	0	2,511	0.00	2,349	40	2,389	0.00	0.00	0	0.00	0	0.00	2,511				
SIR DORABJI TATA TRUST (Mr R N Tata, Mr V Srinivasan, Mr V Singh, Mr R K Krishna Kumar, Mr Pramit Jhaveri)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0				
SIR RATAN TATA TRUST (Mr R N Tata, Mr V Srinivasan, Mr V Singh, Mr J N Tata, Mr R K Krishna Kumar, Mr N N Tata, Mr Jehangir H C Jehangir)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0				
<b>Sub-Total (A)(1)</b>	<b>10</b>	<b>375,415,658</b>	<b>38,942,999</b>	<b>0</b>	<b>414,358,657</b>	<b>34.41</b>	<b>375,415,658</b>	<b>9,751,575</b>	<b>385,167,233</b>	<b>33.61</b>	<b>34.41</b>	<b>0</b>	<b>0.00</b>	<b>13,980,000</b>	<b>3.37</b>	<b>414,358,657</b>				
(2) Foreign																				
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0				
(b) Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0				
(c) Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0				
(d) Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0				
(e) Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0				
<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>				
<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>10</b>	<b>375,415,658</b>	<b>38,942,999</b>	<b>0</b>	<b>414,358,657</b>	<b>34.41</b>	<b>375,415,658</b>	<b>9,751,575</b>	<b>385,167,233</b>	<b>33.61</b>	<b>34.41</b>	<b>0</b>	<b>0.00</b>	<b>13,980,000</b>	<b>3.37</b>	<b>414,358,657</b>				

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

11,68,393 Ordinary Shares held by Rujivalika Investments Limited (a wholly owned subsidiary of Tata Steel Limited w.e.f May 8, 2015), do not carry any voting rights. Tata AIA Life Insurance Company Limited is not considered part of the Promoter Group holds 27,56,567 Ordinary Shares representing 0.23% of the paid-up Ordinary Share Capital. Accordingly, holding of Tata AIA Life Insurance Company Ltd. is included under Public Shareholding under the head 'Institutions'-Insurance Companies. During the quarter ended June 30, 2018, the two Promoter Group Companies - Sir Ratan Tata Trust and Sir Dorabji Tata Trust had sold their entire holdings in Tata Steel Limited. Hence their holdings are 'Nil'. Tata Sons Private Limited (TSPL) holds 32.93% in Tata Steel Limited as on September 30, 2020 and Tata Steel Limited is an associate of TSPL. TSPL being a Core Investment Company registered with RBI, is exempted under the Significant Beneficial Ownership (SBO) Rules. The Company does not have any other Significant Beneficial Owner under SBO Rules to be reported in the shareholding pattern as on September 30, 2020

**Note:**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
							(X)					(X)	(XII)		(XIII)			
							No of Voting Rights						Total as a % of Total Voting rights	No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)
							Class X	Class Y	Total									
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)-(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)		
(1) Institutions																		
(a) Mutual Funds/UTI	82	154,075,793	3,184,641	0	157,260,434	13.06	154,075,793	797,456	154,873,243	13.52	13.06	0	0.00	NA	NA	157,236,501		
i Hdfc Trustee Company Limited	1	47,066,235	2,253,072	0	49,319,307	4.10	47,066,235	564,183	47,630,418	4.16	4.10	0	0.00	NA	NA	49,319,307		
ii Icol Prudential - Various Mutual Funds	1	21,385,602	0	0	21,385,602	1.78	21,385,602	0	21,385,602	1.87	1.78	0	0.00	NA	NA	21,385,602		
iii Sbi - Various Mutual Funds	1	19,746,569	0	0	19,746,569	1.64	19,746,569	0	19,746,569	1.72	1.64	0	0.00	NA	NA	19,746,569		
iv Reliance Capital Trustee Co Ltd	1	15,558,180	0	0	15,558,180	1.29	15,558,180	0	15,558,180	1.36	1.29	0	0.00	NA	NA	15,558,180		
v UTI - Various Mutual Funds	1	13,561,353	0	0	13,561,353	1.13	13,561,353	0	13,561,353	1.18	1.13	0	0.00	NA	NA	13,561,353		
(b) Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0.00	NA	NA	0		
(c) Alternate Investment Funds	6	1,235,225	0	0	1,235,225	0.10	1,235,225	0	1,235,225	0.11	0.10	0	0.00	NA	NA	1,235,225		
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0.00	NA	NA	0		
(e) Foreign Portfolio Investors	503	136,488,838	1,377,259	0	137,866,097	11.45	136,488,838	344,857	136,833,695	11.94	11.45	0	0.00	NA	NA	137,851,027		
(f) Financial Institutions/ Banks	180	2,496,356	360	0	2,496,716	0.21	2,496,356	89	2,496,445	0.22	0.21	0	0.00	NA	NA	2,339,898		
(g) Insurance Companies	36	197,274,836	1,369,465	0	198,644,301	16.50	197,274,836	342,920	197,617,756	17.25	16.50	0	0.00	NA	NA	198,643,301		
(i) Life Insurance Corporation of India	1	134,771,192	0	0	134,771,192	11.19	134,771,192	0	134,771,192	11.76	11.19	0	0.00	NA	NA	134,771,192		
(ii) SBI Life Insurance Co. Ltd	1	12,668,801	0	0	12,668,801	1.05	12,668,801	0	12,668,801	1.11	1.05	0	0.00	NA	NA	12,668,801		
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0.00	NA	NA	0		
(i) Foreign Porfolio Investments - Individual	1	892	0	0	892	0.00	892	0	892	0.00	0.00	0	0.00	NA	NA	892		
<b>Sub-Total (B)(1)</b>	<b>808</b>	<b>491,571,940</b>	<b>5,931,725</b>	<b>0</b>	<b>497,503,665</b>	<b>41.32</b>	<b>491,571,940</b>	<b>1,485,316</b>	<b>493,057,256</b>	<b>43.03</b>	<b>41.32</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>497,306,844</b>		
(2) Central Government/ State Government(s)/ President of India	8	3,040,892	0	0	3,040,892	0.25	3,040,892	0	3,040,892	0.27	0.25	0	0.00	NA	NA	2,939,485		
<b>Sub-Total (B)(2)</b>	<b>8</b>	<b>3,040,892</b>	<b>0</b>	<b>0</b>	<b>3,040,892</b>	<b>0.25</b>	<b>3,040,892</b>	<b>0</b>	<b>3,040,892</b>	<b>0.27</b>	<b>0.25</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>2,939,485</b>		
(3) Non-Institutions																		
(a) Individuals -																		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	931,687	197,722,888	27,374,436	0	225,097,324	18.69	197,722,888	6,797,321	204,520,209	17.85	18.69	0	0.00	NA	NA	210,343,768		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	931,264	178,484,303	2,222,753	0	200,711,876	16.67	178,484,303	5,508,514	183,992,817	16.06	16.67	0	0.00	NA	NA	186,811,891		
(b) NBFCs registered with RBI	16	64,513	523	0	65,036	0.01	64,513	129	64,642	0.01	0.01	0	0.00	NA	NA	65,036		
(c) Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0.00	NA	NA	0		
(d) Overseas Depositories (holding DRs) (balancing figure)	1	0	0	11,289,262	11,289,262	0.94	11,289,262	0	11,289,262	0.99	0.94	0	0.00	NA	NA	11,289,262		
i Citibank N.A. New York, Nyadr Dept	1	0	0	11,289,262	11,289,262	0.94	11,289,262	0	11,289,262	0.99	0.94	0	0.00	NA	NA	11,289,262		
(e) Any Other (specify) -	33,378	47,385,058	5,387,105	0	52,772,163	4.38	47,385,058	1,346,394	48,731,452	4.25	4.38	0	0.00	NA	NA	52,282,037		
i NRI - With and without Repatriation	14,344	6,806,562	305,127	0	7,111,689	0.59	6,806,562	76,090	6,882,652	0.60	0.59	0	0.00	NA	NA	6,846,459		
ii Trust	81	18,225,337	223,385	0	18,448,722	1.51	18,225,337	55,928	18,281,265	1.60	1.51	0	0.00	NA	NA	18,432,708		
a NPS Trust - Various Trust Accounts	1	12,898,904	219,541	0	13,118,445	1.09	12,898,904	54,974	12,953,878	1.13	1.09	0	0.00	NA	NA	13,118,445		
iii IEPF ACCOINT	1	3,911,628	0	0	3,911,628	0.32	3,911,628	0	3,911,628	0.34	0.32	0	0.00	NA	NA	3,911,628		
iv HUF	14,798	6,090,438	2,063,063	0	8,153,501	0.68	6,090,438	514,749	6,605,187	0.58	0.68	0	0.00	NA	NA	8,151,631		
v Clearing Member/Clearing House	522	3,737,339	575,519	0	4,312,858	0.36	3,737,339	144,048	3,881,387	0.34	0.36	0	0.00	NA	NA	4,312,858		
vi LLP/LLP-DR	126	218,817	476,050	0	694,867	0.06	218,817	119,159	338,008	0.03	0.06	0	0.00	NA	NA	694,867		
vii Director and Their Relatives	5	203,813	244	0	204,057	0.02	203,813	60	203,873	0.02	0.02	0	0.00	NA	NA	204,057		
viii Bodies Corporate	3,490	7,837,572	1,738,429	0	9,576,001	0.80	7,837,572	435,005	8,272,577	0.72	0.80	0	0.00	NA	NA	9,368,992		
ix Foreign National	6	2,323	161	0	2,484	0.00	2,323	40	2,363	0.00	0.00	0	0.00	NA	NA	2,484		
x Foreign Bodies - DR	4	346,729	5,127	0	351,856	0.03	346,729	1,283	348,012	0.03	0.03	0	0.00	NA	NA	351,856		
xi National	0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0.00	NA	NA	0		
xii Overseas Corporate Bodies	1	4,500	0	0	4,500	0.00	4,500	0	4,500	0.00	0.00	0	0.00	NA	NA	4,500		
<b>Sub-Total (B)(3)</b>	<b>965,882</b>	<b>245,172,459</b>	<b>32,762,064</b>	<b>11,289,262</b>	<b>289,223,785</b>	<b>24.02</b>	<b>256,461,721</b>	<b>8,143,844</b>	<b>264,605,565</b>	<b>23.09</b>	<b>24.02</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>273,980,098</b>		
<b>Total Public Shareholding</b>	<b>965,898</b>	<b>739,785,291</b>	<b>38,693,789</b>	<b>11,289,262</b>	<b>789,768,342</b>	<b>65.59</b>	<b>751,074,553</b>	<b>9,629,160</b>	<b>760,703,713</b>	<b>66.39</b>	<b>65.59</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>	<b>774,226,427</b>		

Note:  
(1) PAN would not be displayed on website of Stock Exchange(s).  
(2) The above format needs to be disclosed along with the name of following persons:  
Institutions/Non Institutions holding more than 1% of total number of shares.  
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
																		(IX)
								No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)		
								Class X	Class Y									Total
(1)	Custodian/DR Holder Name of DR Holder (if available)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
<b>Total Non-Promoter- Non Public Shareholding (C)=</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	

**Note**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

