

Format of holding of specified securities

- 1 Name of Listed Entity: **Tata Steel Limited**
- 2 Scrip Code/Name of Scrip/Class of Security **BSE: 500470/890144**
NSE: TATASTEEL/TATASTEELPP
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending - **30-06-2020**
- b. If under 31(1)(c) then indicate date of allotment/extinguishment

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	-
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	-
4	Whether the Listed Entity has any shares in locked-in?	-	-
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)					(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)			(XIII)	
								No of Voting Rights							Total as a % of (A+B+C)	No.		As a % of total Shares held	No.
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(a)	(b)	(a)	(b)	(XIV)		
(A)	Promoter & Promoter Group	10	375,415,658	38,942,999	0.00	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	0.00	34.41	0.00	0.00	13,980,000	3.37	414,358,657	
(B)	Public	957,082	739,459,905	38,693,789	11,614,648	789,768,342	65.59	751,074,553	9,628,694	760,703,247	66.39	0.00	65.59	0.00	0.00	NA		774,182,378	
(C)	Non Promoter- Non Public	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0	
(C1)	Shares underlying DRs	0	0	0.00	0.00	0	N.A	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0	
(C2)	Shares held by Employee Trusts	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0	
	Total	957,092	1,114,875,563	77,636,788	11,614,648	1,204,126,999	100.00	1,126,490,211	19,380,269	1,145,870,480	100.00	0.00	100.00	0.00	0.00	13,980,000	1.16	1,188,541,035	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
							(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
							No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No.	As a % of total shares held
							Class X	Class Y	Total										
(I)	(III)	(IV)	(V)	(VI)	(VII) = IV+V+VI	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)			
(1) Indian																			
(a) Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00		
(b) Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00		
(c) Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00		
(d) Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00		
(f) Bodies Corporate	10	375,415,658	38,942,999	0	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	34.41	0	0.00	13,980,000	3.37	414,358,657			
(i) TATA SONS PRIVATE LIMITED	1	358,677,332	37,830,810	0	396,508,142	32.93	358,677,332	9,473,080	368,150,412	32.13	32.93	0	0.00	13,980,000	3.53	396,508,142			
(ii) TATA MOTORS LIMITED	1	5,141,696	354,599	0	5,496,295	0.46	5,141,696	88,793	5,230,489	0.46	0.46	0	0.00	0	0.00	5,496,295			
(iii) TATA INVESTMENT CORPORATION LIMITED	1	3,927,625	270,869	0	4,198,494	0.35	3,927,625	67,827	3,995,452	0.35	0.35	0	0.00	0	0.00	4,198,494			
(iv) TATA CHEMICALS LTD	1	2,890,693	199,358	0	3,090,051	0.26	2,890,693	49,920	2,940,613	0.26	0.26	0	0.00	0	0.00	3,090,051			
(v) EWART INVESTMENTS LIMITED	1	2,082,364	143,611	0	2,225,975	0.18	2,082,364	35,961	2,118,325	0.18	0.18	0	0.00	0	0.00	2,225,975			
(vi) RUJUVALIKA INVESTMENTS LIMITED**	1	1,168,393	0	0	1,168,393	0.10	1,168,393	0	1,168,393	0.10	0.10	0	0.00	0	0.00	1,168,393			
(vii) TATA INDUSTRIES LIMITED	1	939,358	103,187	0	1,042,545	0.09	939,358	25,838	965,196	0.08	0.09	0	0.00	0	0.00	1,042,545			
(viii) TATA MOTORS FINANCE LIMITED	1	570,188	39,323	0	609,511	0.05	570,188	9,846	580,034	0.05	0.05	0	0.00	0	0.00	609,511			
(ix) TATA CAPITAL LTD	1	15,660	1,080	0	16,740	0.00	15,660	270	15,930	0.00	0.00	0	0.00	0	0.00	16,740			
(x) TITAN COMPANY LIMITED	1	2,349	162	0	2,511	0.00	2,349	40	2,389	0.00	0.00	0	0.00	0	0.00	2,511			
SIR DORABJI TATA TRUST (Mr R N Tata, Mr V Srinivasan, Mr V Singh, Mr R K Krishna Kumar, Mr Pramit Jhaveri)	0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0.00	0	0.00	0			
SIR RATAN TATA TRUST (Mr R N Tata, Mr V Srinivasan, Mr V Singh, Mr J N Tata, Mr R K Krishna Kumar, Mr N N Tata, Mr Jehangir H C Jehangir)	0	0	0	0	0	0.00	0	0	0	0.00	0.00	0	0.00	0	0.00	0			
Sub-Total (A)(1)	10	375,415,658	38,942,999	0	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	34.41	0	0.00	13,980,000	3.37	414,358,657			
(2) Foreign																			
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(b) Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(c) Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(d) Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(e) Any Other (specify Name (xyz...))	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	10	375,415,658	38,942,999	0	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	34.41	0	0.00	13,980,000	3.37	414,358,657			

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

11,68,393 Ordinary Shares held by Rujuvalika Investments Limited (a wholly owned subsidiary of Tata Steel Limited w.e.f May 8, 2015), do not carry any voting rights. Tata AIA Life Insurance Company Limited is not considered part of the Promoter Group holds 38,31,598 Ordinary Shares representing 0.32% of the paid-up Ordinary Share Capital . Accordingly, holding of Tata AIA Life Insurance Company Ltd. is included under Public Shareholding under the head "Institutions"-Insurance Companies. During the quarter ended June 30, 2018, the two Promoter Group Companies - Sir Ratan Tata Trust and Sir Dorabji Tata Trust had sold their entire holdings in Tata Steel Limited. Hence their holdings are 'NIL'. Tata Sons Private Limited (TSPL) holds 32.93% in Tata Steel Limited as on June 30, 2020 and Tata Steel Limited is an associate of TSPL. TSPL being a Core Investment Company registered with RBI, is exempted under the Significant Beneficial Ownership (SBO) Rules. The Company does not have any other Significant Beneficial Owner under SBO Rules to be reported in the shareholding pattern as on June 30, 2020.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
							(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
							No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)
							Class X	Class Y	Total										
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)			
(1) Institutions																			
(a) Mutual Funds/UTI	77	156,966,058	6,263,770	0	163,229,828	13.56	156,966,058	1,568,484	158,534,542	13.84	0	0.00	0	0.00	NA	0	163,205,895		
i Hdfc Trustee Company Limited	1	58,409,097	2,253,072	0	60,662,169	5.04	58,409,097	564,183	58,973,280	5.15	0	0.00	0	0.00	NA	0	60,662,169		
ii Icici Prudential Bluechip Fund	1	22,595,377	0	0	22,595,377	1.88	22,595,377	0	22,595,377	1.97	0	0.00	0	0.00	NA	0	22,595,377		
iii Sbi - Various Mutual Funds	1	18,986,160	0	0	18,986,160	1.58	18,986,160	0	18,986,160	1.66	0	0.00	0	0.00	NA	0	18,986,160		
iv Reliance Capital Trustee Co Ltd	1	12,955,370	2,908,983	0	15,864,353	1.32	12,955,370	728,428	13,683,798	1.19	0	0.00	0	0.00	NA	0	15,864,353		
(b) Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
(c) Alternate Investment Funds	4	54,730	0	0	54,730	0.00	54,730	0	54,730	0.00	0	0.00	0	0.00	NA	0	54,730		
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
(e) Foreign Portfolio Investors	528	140,949,228	1,665,006	0	142,614,234	11.84	140,949,228	416,909	141,366,137	12.34	0	0.00	0	0.00	NA	0	142,599,164		
(f) Financial Institutions/ Banks	183	2,965,893	512	0	2,966,405	0.25	2,965,893	127	2,966,020	0.26	0	0.00	0	0.00	NA	0	2,809,587		
(g) Insurance Companies #	42	191,075,185	1,382,145	0	192,457,330	15.98	191,075,185	346,095	191,421,280	16.71	0	0.00	0	0.00	NA	0	192,456,330		
(i) Life Insurance Corporation of India	1	123,532,531	0	0	123,532,531	10.26	123,532,531	0	123,532,531	10.78	0	0.00	0	0.00	NA	0	123,532,531		
(ii) Icici Prudential Life Insurance Co Ltd	1	12,671,993	0	0	12,671,993	1.05	12,671,993	0	12,671,993	1.11	0	0.00	0	0.00	NA	0	12,671,993		
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
(i) Foreign Portfolio Investments - Individual	892	0	0	0	892	0.00	892	0	892	0.00	0	0.00	0	0.00	NA	0	892		
Sub-Total (B)(1)	835	492,011,986	9,311,433	0	501,323,419	41.63	492,011,986	2,331,615	494,343,601	43.14	0	0.00	0	0.00	NA	0	501,126,598		
(2) Central Government/ State Government(s)/ President of India	8	2,680,620	0	0	2,680,620	0.22	2,680,620	0	2,680,620	0.23	0	0.00	0	0.00	NA	0	2,579,213		
Sub-Total (B)(2)	8	2,680,620	0	0	2,680,620	0.22	2,680,620	0	2,680,620	0.23	0	0.00	0	0.00	NA	0	2,579,213		
(3) Non-institutions																			
(a) Individuals -	922,432	195,065,774	23,984,807	0	219,050,581	18.19	195,065,774	5,948,098	201,013,872	17.54	0	0.00	0	0.00	NA	0	204,255,386		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	922,025	176,968,443	21,354,864	0	198,323,307	16.47	176,968,443	5,289,544	182,257,987	15.91	0	0.00	0	0.00	NA	0	184,328,045		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	407	18,097,331	2,629,943	0	20,727,274	1.72	18,097,331	658,554	18,755,885	1.64	0	0.00	0	0.00	NA	0	19,927,341		
(b) NBFCs registered with RBI	14	64,313	523	0	64,836	0.01	64,313	129	64,442	0.01	0	0.00	0	0.00	NA	0	64,836		
(c) Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
(d) Overseas Depositories (holding DRs) (balancing figure)	1	0	0	11,614,648	11,614,648	0.96	11,614,648	0	11,614,648	1.01	0	0.00	0	0.00	NA	0	11,614,648		
i Citibank N.A. New York, Nyadr Dept	1	0	0	11,614,648	11,614,648	0.96	11,614,648	0	11,614,648	1.01	0	0.00	0	0.00	NA	0	11,614,648		
(e) Any Other (specify) -	33,792	49,637,212	5,397,026	0	55,034,238	4.57	49,637,212	1,348,852	50,986,064	4.45	0	0.00	0	0.00	NA	0	54,541,697		
i NRI - With and without Repatriation	14,617	6,904,338	237,532	0	7,141,870	0.59	6,904,338	59,163	6,963,501	0.61	0	0.00	0	0.00	NA	0	6,873,082		
ii Trust	84	15,954,999	223,453	0	16,178,452	1.34	15,954,999	55,945	16,010,944	1.40	0	0.00	0	0.00	NA	0	16,162,435		
iii IEPF ACCOUNT	1	3,912,609	0	0	3,912,609	0.32	3,912,609	0	3,912,609	0.34	0	0.00	0	0.00	NA	0	3,912,609		
iv HUF	14,907	5,845,886	1,867,931	0	7,713,817	0.64	5,845,886	465,872	6,311,758	0.55	0	0.00	0	0.00	NA	0	7,713,809		
v Clearing Member/Clearing House	576	9,769,337	1,172,047	0	10,941,384	0.91	9,769,337	293,408	10,062,745	0.88	0	0.00	0	0.00	NA	0	10,941,384		
vi LLP/LLP-DR	118	158,214	571,567	0	729,781	0.06	158,214	143,106	301,322	0.03	0	0.00	0	0.00	NA	0	729,781		
vii Director and Their Relatives	5	203,813	244	0	204,057	0.02	203,813	60	203,873	0.02	0	0.00	0	0.00	NA	0	204,057		
viii Bodies Corporate	3,472	6,540,976	1,318,964	0	7,859,940	0.65	6,540,976	329,973	6,870,949	0.60	0	0.00	0	0.00	NA	0	7,652,931		
ix Foreign National	6	2,323	161	0	2,484	0.00	2,323	40	2,363	0.00	0	0.00	0	0.00	NA	0	2,484		
x Foreign Bodies - DR	5	340,217	5,127	0	345,344	0.03	340,217	1,283	341,500	0.03	0	0.00	0	0.00	NA	0	345,344		
xi National	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
xii Overseas Corporate Bodies	4	4,500	0	0	4,500	0.00	4,500	0	4,500	0.00	0	0.00	0	0.00	NA	0	4,500		
Sub-Total (B)(3)	956,239	244,767,299	29,382,356	11,614,648	285,764,303	23.73	256,381,947	7,297,079	263,679,026	23.01	0	0.00	0	0.00	NA	0	270,476,567		
Total Public Shareholding	957,082	739,459,905	38,693,789	11,614,648	789,768,342	65.59	751,074,553	9,628,694	760,703,247	66.39	0	0.00	0	0.00	NA	0	774,182,378		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

TATA AIA LIFE INSURANCE COMPANY LIMITED is not considered part of Promoter Group holds 38,31,598 Ordinary Shares representing 0.32% of the paidup Ordinary Share Capital. Accordingly, holding of Tata AIA Life insurance Company Ltd. is included under Public Shareholding under the head "Institutions"-Insurance

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form										
																		(IX)		(XII)	(XIII)						
								(I)	(III)	(IV)			(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)		No of Voting Rights			(X)	(XI) = (VII)+(X) as a % of A+B+C2	No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)	(XIV) (Not Applicable)
																		Class X	Class Y	Total							
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0										
(a)	Name of DR Holder (if available)																										
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0										
Total Non-Promoter- Non Public		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0										

Note

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

