



October 19, 2020

The Secretary, Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001.
Maharashtra, India.
Scrip Code: 500470/890144

The Manager, Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051.
Maharashtra, India.
Symbol: TATASTEEL/TATASTLPP

Dear Madam, Sir(s),

Certificates under Regulation 40(9) read with 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed certificates dated October 19, 2020 for the half year ended September 30, 2020, from Mr. P. N. Parikh of Parikh & Associates, Practicing Company Secretaries.

These certificates have been issued in terms of Regulation 40(9) read with Regulation 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended.

This is for your information and records.

Thanking you.

Yours faithfully,
Tata Steel Limited

Parvatheesam Kanchinadham
Company Secretary &
Chief Legal Officer (Corporate & Compliance)

Encl: As above

TATA STEEL LIMITED

Registered Office Bombay House 24 Homi Mody Street Fort Mumbai 400 001 India
Tel 91 22 6665 8282 Fax 91 22 6665 7724 Website www.tatasteel.com
Corporate Identity Number L27100MH1907PLC000260

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE
HALF YEAR ENDED SEPTEMBER 30, 2020.**

The Securities and Exchange Board of India vide gazette notification dated June 8, 2018 and vide its press release dated December 3, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. April 1, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

Accordingly, on the basis of examination of the relevant documents relating to fully paid equity shares of Tata Steel Limited ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from April 1, 2020 to September 30, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that

A) 1.a The Company has delivered during half year ended on September 30, 2020, one share certificate relating to the transfer deeds lodged prior to April 1, 2019 and re-lodged thereafter, received during the period from April 1, 2020 to September 30, 2020, within thirty days. For other transfer requests received, the same were processed within thirty days of lodgment in the Memorandum of Transfers, however, due to the lockdown on account of the COVID-19 pandemic, the share certificates were dispatched and delivered beyond thirty days i.e. after resumption of postal/courier services.

1.b. in respect of requests relating to transmission /deletion of name for fully paid equity shares received during the half year ended on September 30, 2020, the Company has delivered the share certificates within the time permitted by SEBI vide circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020;

1.c. Further, in respect of few requests relating to renewal of share certificates and transposition of securities were processed within the regulatory timelines, however due to the lockdown on account of the COVID-19 pandemic, the share certificates were dispatched and delivered beyond thirty days i.e. after resumption of postal/courier services.

1.d. As informed to us there were no requests relating to sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

Place: Mumbai
Date: October 19, 2020

For Parikh & Associates

P N
Parikh Digitally signed by
P N Parikh
Date: 2020.10.19
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P. N. Parikh
FCS: 327 CP: 1228
UDIN: F000327B000993902

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30, 2020.

The Securities and Exchange Board of India vide gazette notification dated June 8, 2018 and vide its press release dated December 3, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. April 1, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019.

Accordingly, on the basis of examination of the relevant documents relating to partly paid equity shares of Tata Steel Limited ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from April 1, 2020 to September 30, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the period from April 1, 2020 to September 30, 2020.

1. b. The Company has delivered during half year ended on September 30, 2020, share certificates in respect of requests relating to transmission of securities/deletion of name received during the period from April 1, 2020 to September 30, 2020 the Company has delivered the share certificates within the time permitted by SEBI vide circular no. SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020. Further, the request relating to renewal of share certificate was processed within the regulatory timelines, however due to the lockdown on account of the COVID-19 pandemic, the share certificates were dispatched and delivered beyond thirty days i.e. after resumption of postal/courier services. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: ---.

Place: Mumbai
Date: October 19, 2020

For Parikh & Associates

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Parikh  Digitally signed
by P N Parikh
Date: 2020.10.19
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P. N. Parikh
FCS: 327 CP: 1228
UDIN: F000327B000993979

CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020

Based on the information/details provided to us by Tata Steel Limited ("the Company"), we hereby certify that in respect of the Debentures issued by the Company in Demat form, no physical debenture certificates were required to be delivered during the period from 01st April, 2020 to 30th September, 2020 and for the Debentures issued in physical form, there were no requests for transfer, sub-division, consolidation, renewal or split as the case may be, pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015.

For Parikh & Associates

P N
Parikh

Digitally signed by P N Parikh
DN: c=IN, o=Personal,
postalCode=400104, st=Maharashtra,
2.5.4.20=02a59473a64d87ecbdf0a8e3
ad70b06b16d5d30bb138b26dcc8c4b69
3f8ed1,
serialNumber=e6a5dfe9f91e9732378e1
98497c9be1a9a5543aafae294fe7c98b0c
5e69c2fc, cn=P N Parikh
Date: 2020.10.19 18:36:35 +05'30'

Place: Mumbai
Date: October 19, 2020

Name of Company Secretary: **P.N.Parikh**
FCS: 327 CP: 1228
UDIN: F000327B000999481