

Format of holding of specified securities

- | | | |
|---|------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| 1 | Name of Listed Entity: | Tata Steel Limited |
| 2 | Scrip Code/Name of Scrip/Class of Security | 500470/891044 |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | |
| | a. If under 31(1)(b) then indicate the report for Quarter ending - | 16/09/2022 (Post) |
| | b. If under 31(1)(c) then indicate date of allotment/extinguishment | |
| 4 | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- | |

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

CERTIFIED TRUE COPY
TATA STEEL LIMITED

(PARVATHEESAM KANCHINADHAM)
COMPANY SECRETARY &
CHIEF LEGAL OFFICER (CORPORATE & COMPLIANCE)



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)						(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)			(XIII)	
								No of Voting Rights			Total as a % of (A+B+C)					No.	As a % of total Shares held		No.	As a % of total Shares held
								Class eg: X	Class eg: y	Total										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(a)	(b)	(a)	(b)	(XIV)			
(A)	Promoter & Promoter Group	10	4,14,35,86,570	0	0.00	4,14,35,86,570	33.71	4,14,35,86,570	0	4,14,35,86,570	33.72	0.00	33.71	0.00	0.00	6,42,00,000	1.55	4,14,35,86,570		
(B)	Public	30,90,985	8,05,10,29,360	22,43,410	9,42,41,430	8,14,75,14,200	66.29	8,14,52,70,790	5,61,764	8,14,58,32,554	66.28	0.00	66.29	0.00	0.00	NA		8,01,28,49,561		
(C)	Non Promoter- Non Public	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0		
(C1)	Shares underlying DRs	0	0	0.00	0.00	0	N.A	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0		
(C2)	Shares held by Employee Trusts	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0		
	Total	30,90,995	12,19,46,15,930	22,43,410	9,42,41,430	12,29,11,00,770	100.00	12,28,88,57,360	5,61,764	12,28,94,19,124	100.00	0.00	100.00	0.00	0.00	6,42,00,000	0.52	12,15,64,36,131		



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	Entity Type i.e. promoter OR promoter group entity (except promoter)	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)	
									No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No.
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)			
(1) Indian			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0		
(a) Individuals/Hindu undivided Family			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0		
(b) Central Government/ State Government(s)			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0		
(c) Financial Institutions/ Banks			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0		
(d) Any Other (specify)			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0		
(e) Bodies Corporate			10	4,14,35,86,570	0	0	4,14,35,86,570	33.71	4,14,35,86,570	0	4,14,35,86,570	33.72	0	33.71	0	0.00	6,42,00,000	1.55	4,14,35,86,570	
(f) TATA SONS PRIVATE LIMITED	Promoter		1	3,96,50,81,420	0	0	3,96,50,81,420	32.26	3,96,50,81,420	0	3,96,50,81,420	32.26	0	32.26	0	0.00	6,42,00,000	1.62	3,96,50,81,420	
(g) TATA MOTORS LIMITED	Promoter Group		1	5,49,62,950	0	0	5,49,62,950	0.45	5,49,62,950	0	5,49,62,950	0.45	0	0.45	0	0.00	0	0.00	5,49,62,950	
(h) TATA INVESTMENT CORPORATION LIMITED	Promoter Group		1	4,19,84,940	0	0	4,19,84,940	0.34	4,19,84,940	0	4,19,84,940	0.34	0	0.34	0	0.00	0	0.00	4,19,84,940	
(i) TATA CHEMICALS LTD	Promoter Group		1	3,09,00,510	0	0	3,09,00,510	0.25	3,09,00,510	0	3,09,00,510	0.25	0	0.25	0	0.00	0	0.00	3,09,00,510	
(j) Ewart Investments Limited	Promoter Group		1	2,22,59,750	0	0	2,22,59,750	0.18	2,22,59,750	0	2,22,59,750	0.18	0	0.18	0	0.00	0	0.00	2,22,59,750	
(k) Rujvalika Investments Limited**	Promoter Group		1	1,16,83,930	0	0	1,16,83,930	0.10	1,16,83,930	0	1,16,83,930	0.10	0	0.10	0	0.00	0	0.00	1,16,83,930	
(l) TATA INDUSTRIES LIMITED	Promoter Group		1	1,04,25,450	0	0	1,04,25,450	0.08	1,04,25,450	0	1,04,25,450	0.08	0	0.08	0	0.00	0	0.00	1,04,25,450	
(m) TATA MOTORS FINANCE LIMITED	Promoter Group		1	60,95,110	0	0	60,95,110	0.05	60,95,110	0	60,95,110	0.05	0	0.05	0	0.00	0	0.00	60,95,110	
(n) TATA CAPITAL LTD	Promoter Group		1	1,67,400	0	0	1,67,400	0.00	1,67,400	0	1,67,400	0.00	0	0.00	0	0.00	0	0.00	1,67,400	
(o) TITAN COMPANY LIMITED	Promoter Group		1	25,110	0	0	25,110	0.00	25,110	0	25,110	0.00	0	0.00	0	0.00	0	0.00	25,110	
(p) SIR DORABJI TATA TRUST ## (Mr. R.N. Tata, Mr. V. Singh, Mr. V. Srinivasan, Mr. R.K. Krishna Kumar, Mr. N.N. Tata, Mr. Pramit Jhaveri)	Promoter Group			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(q) SIR RATAN TATA TRUST## (Mr. R.N. Tata, Mr. V. Singh, Mr. V. Srinivasan, Mr. R.K. Krishna Kumar, Mr. N.N. Tata, Mr. Pramit Jhaveri)	Promoter Group			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
Sub-Total (A)(1)			10	4,14,35,86,570	0	0	4,14,35,86,570	33.71	4,14,35,86,570	0	4,14,35,86,570	33.72	0	33.71	0	0.00	6,42,00,000	1.55	4,14,35,86,570	
(2) Foreign			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b) Government			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c) Institutions			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d) Foreign Portfolio Investor			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(e) Any Other (specify)			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(f) Name (xyz...)			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
Sub-Total (A)(2)			0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
Total Shareholding of Promoter and Promoter			10	4,14,35,86,570	0	0	4,14,35,86,570	33.71	4,14,35,86,570	0	4,14,35,86,570	33.72	0	33.71	0	0.00	6,42,00,000	1.55	4,14,35,86,570	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

** 11,68,393 Ordinary Shares held by Rujvalika Investments Limited (a wholly owned subsidiary of Tata Steel Limited w.e.f May 8, 2015), do not carry any voting rights.

* During the quarter ended June 30, 2018, the two Promoter Group Companies - Sir Ratan Tata Trust and Sir Dorabji Tata Trust had sold their entire holdings in Tata Steel Limited.*

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
							(VIII)					(IX)	(X) = (VI)+(IX) as a % of A+B+C2	(XI)			(XII)	
							No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)
(I)	(II)	(III)	(IV)	(V)	(VI = III+IV+V)	As a % of (A+B+C2) (VII)	Class X	Class Y	Total		(a)	(b)	(a)	(b)	(XIII)			
(1) Institutions																		
(a) Mutual Funds/UTI	74	99,02,66,534	0	0	99,02,66,534	8.06	99,02,66,534	0	99,02,66,534	8.06	0	0.00	0	0.00	NA	99,01,34,948		
i Sbi - Various Mutual Funds		37,19,55,380	0	0	37,19,55,380	3.03	37,19,55,380	0	37,19,55,380	3.03	0	0.00	0	0.00	NA	37,19,55,380		
ii Icici Prudential - Various Mutual Funds		12,21,62,229	0	0	12,21,62,229	0.99	12,21,62,229	0	12,21,62,229	0.99	0	0.00	0	0.00	NA	12,21,62,229		
(b) Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(c) Alternate Investment Funds	25	1,45,94,674	0	0	1,45,94,674	0.12	1,45,94,674	0	1,45,94,674	0.12	0	0.00	0	0.00	NA	1,45,94,674		
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(e) Foreign Portfolio Investors	795	2,63,16,94,676	63,650	0	2,63,17,58,326	21.41	2,63,16,94,676	15,938	2,63,17,10,614	21.41	0	0.00	0	0.00	NA	2,63,16,17,926		
i Government of Singapore		17,52,42,293	0	0	17,52,42,293	1.43	17,52,42,293	0	17,52,42,293	1.43	0	0.00	0	0.00	NA	17,52,42,293		
(f) Financial Institutions/ Banks	162	2,90,23,210	0	0	2,90,23,210	0.24	2,90,23,210	0	2,90,23,210	0.24	0	0.00	0	0.00	NA	2,75,45,250		
(g) Insurance Companies #	36	1,16,72,89,746	0	0	1,16,72,89,746	9.50	1,16,72,89,746	0	1,16,72,89,746	9.50	0	0.00	0	0.00	NA	1,16,72,79,746		
(i) Life Insurance Corporation of India		74,76,44,890	0	0	74,76,44,890	5.93	74,76,44,890	0	74,76,44,890	5.93	0	0.00	0	0.00	NA	74,76,44,890		
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(i) Foreign Portfolio Investments - Individual	1	965	0	0	965	0.00	965	0	965	0.00	0	0.00	0	0.00	NA	965		
Sub-Total (B)(1)	1,093	4,83,28,69,805	63,650	0	4,83,29,33,455	39.32	4,83,28,69,805	15,938	4,83,28,85,743	39.33	0	0.00	0	0.00	NA	4,83,11,73,509		
(2) Central Government/ State Government(s)/ President of India	9	11,00,522	0	0	11,00,522	0.01	11,00,522	0	11,00,522	0.01	0	0.00	0	0.00	NA	86,452		
Sub-Total (B)(2)	9	11,00,522	0	0	11,00,522	0.01	11,00,522	0	11,00,522	0.01	0	0.00	0	0.00	NA	86,452		
(3) Non-institutions																		
(a) Individuals -	30,26,952	2,61,15,35,406	19,26,100	0	2,61,34,61,506	21.26	2,61,15,35,406	4,82,308	2,61,20,17,714	21.25	0	0.00	0	0.00	NA	2,48,59,19,164		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	30,26,495	2,31,99,65,479	19,26,100	0	2,32,18,91,579	18.89	2,31,99,65,479	4,82,308	2,32,04,47,787	18.88	0	0.00	0	0.00	NA	2,20,32,48,637		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	457	29,15,69,927	0	0	29,15,69,927	2.37	29,15,69,927	0	29,15,69,927	2.37	0	0.00	0	0.00	NA	28,26,70,527		
(b) NBFs registered with RBI	23	4,78,590	0	0	4,78,590	0.00	4,78,590	0	4,78,590	0.00	0	0.00	0	0.00	NA	4,78,590		
(c) Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(d) Overseas Depositories (holding DRs) (balancing figure)	1	0	0	9,42,41,430	9,42,41,430	0.77	9,42,41,430	0	9,42,41,430	0.77	0	0.00	0	0.00	NA	9,42,41,430		
i Citibank N.A. New York, Nyadr Dept				9,42,41,430	9,42,41,430	0.77	9,42,41,430	0	9,42,41,430	0.77	0	0.00	0	0.00	NA	9,42,41,430		
(e) Any Other (specify) -	62,907	60,50,45,037	2,53,660	0	60,52,98,697	4.92	60,50,45,037	63,518	60,51,08,555	4.92	0	0.00	0	0.00	NA	60,09,50,416		
i NRI - With and without Repatriation	30,074	8,53,94,171	43,320	0	8,54,37,491	0.70	8,53,94,171	10,848	8,54,05,019	0.69	0	0.00	0	0.00	NA	8,30,91,830		
ii Trust	106	20,64,60,213	0	0	20,64,60,213	1.68	20,64,60,213	0	20,64,60,213	1.68	0	0.00	0	0.00	NA	20,63,00,793		
a NPS Trust - Various Trust Accounts		15,08,99,430	0	0	15,08,99,430	1.23	15,08,99,430	0	15,08,99,430	1.23	0	0.00	0	0.00	NA	15,08,99,430		
iii IEPF ACCOUNT	1	5,02,86,138	0	0	5,02,86,138	0.41	5,02,86,138	0	5,02,86,138	0.41	0	0.00	0	0.00	NA	5,02,86,138		
iv HUF	25794	7,34,18,962	31,180	0	7,34,50,142	0.60	7,34,18,962	7,808	7,34,26,770	0.60	0	0.00	0	0.00	NA	7,34,35,352		
v Clearing Member/Clearing House	376	2,57,58,166	63,460	0	2,58,21,626	0.21	2,57,58,166	15,891	2,57,74,057	0.21	0	0.00	0	0.00	NA	2,58,21,626		
vi LLP/LLP-DR	414	75,06,066	660	0	75,06,726	0.06	75,06,066	165	75,06,231	0.06	0	0.00	0	0.00	NA	75,06,726		
vii Director and Their Relatives	8	30,14,270	0	0	30,14,270	0.02	30,14,270	0	30,14,270	0.02	0	0.00	0	0.00	NA	30,14,270		
viii Bodies Corporate	6,111	14,84,04,157	1,15,040	0	14,85,19,197	1.21	14,84,04,157	28,807	14,84,32,964	1.21	0	0.00	0	0.00	NA	14,66,90,787		
ix Foreign National	17	1,84,474	0	0	1,84,474	0.00	1,84,474	0	1,84,474	0.00	0	0.00	0	0.00	NA	1,84,474		
x Foreign Bodies - DR	5	45,89,420	0	0	45,89,420	0.04	45,89,420	0	45,89,420	0.04	0	0.00	0	0.00	NA	45,89,420		
xi National	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
xii Overseas Corporate Bodies	1	29,000	0	0	29,000	0.00	29,000	0	29,000	0.00	0	0.00	0	0.00	NA	29,000		
Sub-Total (B)(3)	30,89,883	3,21,70,59,033	21,79,760	9,42,41,430	3,31,34,80,223	26.96	3,31,13,00,463	5,45,826	3,31,18,46,289	26.95	0	0.00	0	0.00	NA	3,18,15,89,600		
Total Public Shareholding	30,90,985	8,05,10,29,360	22,43,410	9,42,41,430	8,14,75,14,200	66.29	8,14,52,70,790	5,61,764	8,14,58,32,554	66.28	0	0.00	0	0.00	NA	8,01,28,49,561		
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																		
# TATA AIA LIFE INSURANCE COMPANY LIMITED is not considered part of Promoter Group holds 2011792 Ordinary Shares representing 0.16% of the paidup Ordinary Share Capital. Accordingly, holding of Tata AIA Life insurance Company Ltd. is included under Public Shareholding under the head "Institutions"-Insurance																		
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																		
Note:																		
(1) PAN would not be displayed on website of Stock Exchange(s).																		
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																		
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																		



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form				
								(VIII)					(IX)	(X) = (VI)+(IX) as a % of A+B+C2	(XI)			(XII)		(XIII) (Not Applicable)	
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total											
(1)	Custodian/DR Holder (a) Name of DR Holder (if available)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA	0					
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0					
Total Non-Promoter- Non Public		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA	0					

Note
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,



Table V - Statement showing details of significant beneficial owners								
Sr. No.	Details of the significant beneficial owner (I)		Details of the registered owner (II)			Particulars of the shares in which significant beneficial interest is held by the beneficial owner (III)		Date of creation / acquisition of significant beneficial interest (IV)
	Name	Nationality	Name	PAN	Nationality	No. of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of	
NIL								
Note								
As on the date of this shareholding pattern, Tata Sons Private Limited (TSPL) holds 32.46% in Tata Steel Limited and Tata Steel Limited is an associate of TSPL. TSPL being a Core Investment Company registered with RBI, is exempted under the Significant Beneficial Ownership (SBO) Rules. The Company does not have any other Significant Beneficial Owner under SBO Rules to be reported in the shareholding pattern.								

