

SHAREHOLDING PATTERN FOR THE QUARTER ENDED SEPTEMBER 30, 2021

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|---|---|--|
| 1 | Name of Listed Entity: | Tata Steel Limited |
| 2 | Scrip Code/Name of Scrip/Class of Security | 500470/890144/TATASTEEL/TASTLPP |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | 31 (1) (b) |
| | a. If under 31(1)(b) then indicate the report for Quarter ending - | 30-09-2021 |
| | b. If under 31(1)(c) then indicate date of allotment/extinguishment | |

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	-
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	-
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-

The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)						(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)			(XIII)	
								No of Voting Rights			Total as a % of (A+B+C)					No.	As a % of total Shares held		No.	As a % of total Shares held
								Class eg: X	Class eg: y	Total										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	No.	As a % of total Shares held	No.	As a % of total Shares held	(XIV)			
(A)	Promoter & Promoter Group	10	41,43,58,657	0	0.00	41,43,58,657	34.41	41,43,58,657	0	41,43,58,657	34.44	0.00	34.41	0.00	0.00	64,20,000	1.55	41,43,58,657		
(B)	Public	12,30,357	77,84,73,693	11,56,758	1,01,37,891	78,97,68,342	65.59	78,86,11,584	2,89,659	78,89,01,243	65.56	0.00	65.59	0.00	0.00	NA		77,51,79,798		
(C)	Non Promoter- Non Public	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0		
(C1)	Shares underlying DRs	0	0	0.00	0.00	0	N.A	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0		
(C2)	Shares held by Employee Trusts	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0		
	Total	12,30,367	1,19,28,32,350	11,56,758	1,01,37,891	1,20,41,26,999	100.00	1,20,29,70,241	2,89,659	1,20,32,59,900	100.00	0.00	100.00	0.00	0.00	64,20,000	0.53	1,18,95,38,455		



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
							(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
							No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No.	As a % of total shares held
							Class X	Class Y	Total										
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	No.	As a % of total Shares held	No.	As a % of total shares held	(XIV)			
(1) Indian																			
(a) Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0			
(b) Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0			
(c) Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0			
(d) Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0			
(i) Bodies Corporate	10	41,43,58,657	0	0	41,43,58,657	34.41	41,43,58,657	0	41,43,58,657	34.44	0	34.41	0	0.00	64,20,000	1.55	41,43,58,657		
(i) TATA SONS PRIVATE LIMITED	1	39,65,08,142	0	0	39,65,08,142	32.93	39,65,08,142	0	39,65,08,142	32.95	0	32.93	0	0.00	64,20,000	1.62	39,65,08,142		
(ii) TATA MOTORS LIMITED	1	54,96,295	0	0	54,96,295	0.46	54,96,295	0	54,96,295	0.46	0	0.46	0	0.00	0	0.00	54,96,295		
(iii) TATA INVESTMENT CORPORATION LIMITED	1	41,98,494	0	0	41,98,494	0.35	41,98,494	0	41,98,494	0.35	0	0.35	0	0.00	0	0.00	41,98,494		
(iv) TATA CHEMICALS LTD	1	30,90,051	0	0	30,90,051	0.26	30,90,051	0	30,90,051	0.26	0	0.26	0	0.00	0	0.00	30,90,051		
(v) EWART INVESTMENTS LIMITED	1	22,25,975	0	0	22,25,975	0.18	22,25,975	0	22,25,975	0.18	0	0.18	0	0.00	0	0.00	22,25,975		
(vi) RUJUVALIKA INVESTMENTS LIMITED**	1	11,68,393	0	0	11,68,393	0.10	11,68,393	0	11,68,393	0.10	0	0.10	0	0.00	0	0.00	11,68,393		
(vii) TATA INDUSTRIES LIMITED	1	10,42,545	0	0	10,42,545	0.09	10,42,545	0	10,42,545	0.09	0	0.09	0	0.00	0	0.00	10,42,545		
(viii) TATA MOTORS FINANCE LIMITED	1	6,09,511	0	0	6,09,511	0.05	6,09,511	0	6,09,511	0.05	0	0.05	0	0.00	0	0.00	6,09,511		
(ix) TATA CAPITAL LTD	1	16,740	0	0	16,740	0.00	16,740	0	16,740	0.00	0	0.00	0	0.00	0	0.00	16,740		
(x) TITAN COMPANY LIMITED	1	2,511	0	0	2,511	0.00	2,511	0	2,511	0.00	0	0.00	0	0.00	0	0.00	2,511		
SIR DORABJI TATA TRUST ##	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
SIR RATAN TATA TRUST##	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
Sub-Total (A)(1)	10	41,43,58,657	0	0	41,43,58,657	34.41	41,43,58,657	0	41,43,58,657	34.44	0	34.41	0	0.00	64,20,000	1.55	41,43,58,657		
(2) Foreign																			
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0			
(b) Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0			
(c) Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0			
(d) Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0			
(e) Any Other (specify Name (xyz...))	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0			
Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	10	41,43,58,657	0	0	41,43,58,657	34.41	41,43,58,657	0	41,43,58,657	34.44	0	34.41	0	0.00	64,20,000	1.55	41,43,58,657		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

** 11,68,393 Ordinary Shares held by Rujuvalika Investments Limited (a wholly owned subsidiary of Tata Steel Limited w.e.f May 8, 2015), do not carry any voting rights.

"During the quarter ended June 30, 2018, the two Promoter Group Companies - Sir Ratan Tata Trust and Sir Dorabji Tata Trust had sold their entire holdings in Tata Steel Limited."



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
							(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
							No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)
							Class X	Class Y	Total										
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)			
(1) Institutions																			
(a) Mutual Funds/UTI	80	12,85,39,288	0	0	12,85,39,288	10.67	12,85,39,288	0	12,85,39,288	10.68	0	10.67	0	0.00	NA	0	12,85,15,475		
i Sbi - Various Mutual Funds	1	2,38,20,636	0	0	2,38,20,636	1.98	2,38,20,636	0	2,38,20,636	1.98	0	1.98	0	0.00	NA	0	2,38,20,636		
ii Icici Prudential - Various Mutual Funds	1	2,21,99,854	0	0	2,21,99,854	1.84	2,21,99,854	0	2,21,99,854	1.84	0	1.84	0	0.00	NA	0	2,21,99,854		
(b) Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
(c) Alternate Investment Funds	19	11,16,676	0	0	11,16,676	0.09	11,16,676	0	11,16,676	0.09	0	0.09	0	0.00	NA	0	11,16,676		
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
(e) Foreign Portfolio Investors	840	26,95,11,064	28,057	0	26,95,39,121	22.38	26,95,11,064	7,025	26,95,18,089	22.40	0	22.38	0	0.00	NA	0	26,95,24,426		
i Government of Singapore	1	1,72,74,914	0	0	1,72,74,914	1.43	1,72,74,914	0	1,72,74,914	1.44	1	1.43	0	0.00	NA	0	1,72,74,914		
ii Government Pension Fund Global	1	1,30,73,025	0	0	1,30,73,025	1.09	1,30,73,025	0	1,30,73,025	1.09	0	1.09	0	0.00	NA	0	1,30,73,025		
(f) Financial Institutions/ Banks	169	6,74,996	332	0	6,74,996	0.06	6,74,996	83	6,74,747	0.06	0	0.06	0	0.00	NA	0	5,37,057		
(g) Insurance Companies #	26	8,97,08,209	0	0	8,97,08,209	7.45	8,97,08,209	0	8,97,08,209	7.46	0	7.45	0	0.00	NA	0	8,97,07,209		
(i) Life Insurance Corporation of India	1	7,62,16,716	0	0	7,62,16,716	6.33	7,62,16,716	0	7,62,16,716	6.33	0	6.33	0	0.00	NA	0	7,62,16,716		
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
(i) Foreign Porfolio Investments - Individual	1	892	0	0	892	0.00	892	0	892	0.00	0	0.00	0	0.00	NA	0	892		
Sub-Total (B)(1)	1,135	48,95,50,793	28,389	0	48,95,79,182	40.66	48,95,50,793	7,108	48,95,57,901	40.69	0	40.66	0	0.00	NA	0	48,94,01,735		
(2) Central Government/ State Government(s)/ President of India	10	11,74,148	0	0	11,74,148	0.10	11,74,148	0	11,74,148	0.10	0	0.10	0	0.00	NA	0	10,72,741		
Sub-Total (B)(2)	10	11,74,148	0	0	11,74,148	0.10	11,74,148	0	11,74,148	0.10	0	0.10	0	0.00	NA	0	10,72,741		
(3) Non-institutions																			
(a) Individuals -	11,93,350	20,49,62,981	10,50,680	0	20,60,13,661	17.11	20,49,62,981	2,63,099	20,52,26,080	17.06	0	17.11	0	0.00	NA	0	19,21,43,085		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	11,92,904	17,02,05,812	10,50,680	0	17,12,56,492	14.22	17,02,05,812	2,63,099	17,04,68,911	14.17	0	14.22	0	0.00	NA	0	15,82,42,489		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	446	3,47,57,169	0	0	3,47,57,169	2.89	3,47,57,169	0	3,47,57,169	2.89	0	2.89	0	0.00	NA	0	3,39,00,596		
(b) NBFCs registered with RBI	17	34,705	0	0	34,705	0.00	34,705	0	34,705	0.00	0	0.00	0	0.00	NA	0	34,705		
(c) Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
(d) Overseas Depositories (holding DRs) (balancing figure)	1	0	0	1,01,37,891	1,01,37,891	0.84	1,01,37,891	0	1,01,37,891	0.84	0	0.84	0	0.00	NA	0	1,01,37,891		
i Citibank N.A. New York, Nyadr Dept	1	0	0	1,01,37,891	1,01,37,891	0.84	1,01,37,891	0	1,01,37,891	0.84	0	0.84	0	0.00	NA	0	1,01,37,891		
(e) Any Other (specify) -	35,844	8,27,51,066	77,689	0	8,28,28,755	6.88	8,27,51,066	19,452	8,27,70,518	6.88	0	6.88	0	0.00	NA	0	8,23,89,641		
i NRI - With and without Repatriation	17,583	65,11,793	19,901	0	65,31,694	0.54	65,11,793	4,984	65,16,777	0.54	0	0.54	0	0.00	NA	0	62,96,920		
ii Trust	85	1,99,37,978	36	0	1,99,38,014	1.66	1,99,37,978	9	1,99,37,987	1.66	0	1.66	0	0.00	NA	0	1,99,22,072		
a NPS Trust - Various Trust Accounts	1	1,45,97,002	0	0	1,45,97,002	1.21	1,45,97,002	0	1,45,97,002	1.21	0	1.21	0	0.00	NA	0	1,45,97,002		
iii IEPF ACCOUNT	1	43,86,293	0	0	43,86,293	0.36	43,86,293	0	43,86,293	0.36	0	0.36	0	0.00	NA	0	43,86,293		
iv HUF	13,732	57,54,184	22,887	0	57,77,071	0.48	57,54,184	5,731	57,59,915	0.48	0	0.48	0	0.00	NA	0	57,75,482		
v Clearing Member/Clearing House	278	26,10,903	6,688	0	26,17,591	0.22	26,10,903	1,674	26,12,577	0.22	0	0.22	0	0.00	NA	0	26,17,591		
vi LLP/LLP-DR	186	5,53,844	178	0	5,54,022	0.05	5,53,844	44	5,53,888	0.05	0	0.05	0	0.00	NA	0	5,54,022		
vii Director and Their Relatives	6	2,64,057	0	0	2,64,057	0.02	2,64,057	0	2,64,057	0.02	0	0.02	0	0.00	NA	0	2,64,057		
viii Bodies Corporate	3,955	4,24,62,115	27,922	0	4,24,90,037	3.53	4,24,62,115	6,991	4,24,69,106	3.53	0	3.53	0	0.00	NA	0	4,23,03,228		
ix Foreign National	16	19,093	77	0	19,170	0.00	19,093	19	19,112	0.00	0	0.00	0	0.00	NA	0	19,170		
x Foreign Bodies - DR	2	2,50,806	0	0	2,50,806	0.02	2,50,806	0	2,50,806	0.02	0	0.02	0	0.00	NA	0	2,50,806		
xi National	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
xii Overseas Corporate Bodies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0		
Sub-Total (B)(3)	12,29,212	28,77,48,752	11,28,369	1,01,37,891	29,90,15,012	24.83	29,78,86,643	2,82,551	29,81,69,194	24.78	0	24.83	0	0.00	NA	0	28,47,05,322		
Total Public Shareholding	12,30,357	77,84,73,693	11,56,758	1,01,37,891	78,97,68,342	65.59	78,86,11,584	2,89,659	78,89,01,243	65.56	0	65.59	0	0.00	NA	0	77,51,79,798		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

#TATA AIA LIFE INSURANCE COMPANY LIMITED is not considered part of Promoter Group holds 4,21,153 Ordinary Shares representing 0.03% of the paidup Ordinary Share Capital. Accordingly, holding of Tata AIA Life insurance Company Ltd. is included under Public Shareholding under the head "Institutions"-Insurance Companies

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
																			(IX)
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
(a)	Name of DR Holder (if available)																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	

