

Format of holding of specified securities

- 1 Name of Listed Entity: **Tata Steel Limited**
- 2 Scrip Code/Name of Scrip/Class of Security **BSE: 500470/890144**
NSE: TATASTEEL/TATASTLPP
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
- a. If under 31(1)(b) then indicate the report for Quarter ending - **30-Dec-2020**
- b. If under 31(1)(c) then indicate date of allotment/extinguishment **NA**
- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?	Yes	-
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	-
4	Whether the Listed Entity has any shares in locked-in?	-	-
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	(IX)			(X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class eg: X	Class eg: Y	Total								
								(a)	(b)	(a)								
(A)	Promoter & Promoter Group	10	375,415,658	38,942,999	0.00	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	0.00	34.41	0.00	0.00	13,980,000	3.37	414,358,657
(B)	Public	864,189	740,822,692	38,693,789	10,251,861	789,768,342	65.59	751,074,553	9,632,160	760,706,713	66.39	0.00	65.59	0.00	0.00	NA		774,723,698
(C)	Non Promoter- Non Public	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0
(C1)	Shares underlying DRs	0	0	0.00	0.00	0	N.A	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0
(C2)	Shares held by Employee Trusts	0	0	0.00	0.00	0	0.00	0	0.00	0	0.00	0.00	0.00	0.00	0.00	NA		0
	Total	864,199	1,116,238,350	77,636,788	10,251,861	1,204,126,999	100.00	1,126,490,211	19,383,735	1,145,873,946	100.00	0.00	100.00	0.00	0.00	13,980,000	1.16	1,189,082,355

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
							(IX)						(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)	
							No of Voting Rights			Total as a % of Total Voting rights					No.	As a % of total Shares held		No.	As a % of total shares held
							Class X	Class Y	Total										
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)					(X)	(XI) = (VII)+(X) as a % of A+B+C2			(XIII)	(XIV)			
(1) Indian																			
(a) Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(b) Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(c) Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(d) Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(i) Bodies Corporate	10	375,415,658	38,942,999	0	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	0	34.41	0	0.00	13,980,000	3.37	414,358,657		
(ii) TATA SONS PRIVATE LIMITED	1	358,677,332	37830810	0	396,508,142	32.93	358,677,332	9,473,080	368,150,412	32.13	0	32.93	0	0.00	13,980,000	3.53	396,508,142		
(iii) TATA MOTORS LIMITED	1	5,141,696	354599	0	5,496,295	0.46	5,141,696	88,793	5,230,489	0.46	0	0.46	0	0.00	0	0.00	5,496,295		
(iv) TATA INVESTMENT CORPORATION LIMITED	1	3,927,625	270869	0	4,198,494	0.35	3,927,625	67,827	3,995,452	0.35	0	0.35	0	0.00	0	0.00	4,198,494		
(v) TATA CHEMICALS LTD	1	2,880,693	199358	0	3,090,051	0.26	2,880,693	49,920	2,940,613	0.26	0	0.26	0	0.00	0	0.00	3,090,051		
(vi) EWART INVESTMENTS LIMITED	1	2,082,364	143611	0	2,225,975	0.18	2,082,364	35,961	2,118,325	0.18	0	0.18	0	0.00	0	0.00	2,225,975		
(vii) RUJUVALIKA INVESTMENTS LIMITED	1	1,168,393	0	0	1,168,393	0.10	1,168,393	0	1,168,393	0.10	0	0.10	0	0.00	0	0.00	1,168,393		
(viii) TATA INDUSTRIES LIMITED	1	939,358	103187	0	1,042,545	0.09	939,358	25,838	965,196	0.08	0	0.09	0	0.00	0	0.00	1,042,545		
(ix) TATA MOTORS FINANCE LIMITED	1	570,188	39323	0	609,511	0.05	570,188	9,846	580,034	0.05	0	0.05	0	0.00	0	0.00	609,511		
(x) TATA CAPITAL LTD	1	15,660	1080	0	16,740	0.00	15,660	270	15,930	0.00	0	0.00	0	0.00	0	0.00	16,740		
(xi) TITAN COMPANY LIMITED	1	2,349	162	0	2,511	0.00	2,349	40	2,389	0.00	0	0.00	0	0.00	0	0.00	2,511		
SIR DORABJI TATA TRUST (MR. R.N. TATA, MR. V. SRINIVASAN, MR. V. SINGH, MR. R.K. KRISHNA KUMAR, Mr. PRAMIT JHAVERI)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
SIR RATAN TATA TRUST (MR. R.N. TATA, MR. V. SRINIVASAN, MR. V. SINGH, MR. J.N. TATA, MR. R.K. KRISHNA KUMAR, MR. N.N. TATA, MR. JEHangir H.C. JEHangir)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
Sub-Total (A)(1)	10	375,415,658	38,942,999	0	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	0	34.41	0	0.00	13,980,000	3.37	414,358,657		
(2) Foreign																			
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(b) Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(c) Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(d) Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
(e) Any Other (specify Name (xyz...))	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0.00	0	0.00	0		
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	10	375,415,658	38,942,999	0	414,358,657	34.41	375,415,658	9,751,575	385,167,233	33.61	0	34.41	0	0.00	13,980,000	3.37	414,358,657		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

11,68,393 Ordinary Shares held by Rujivalika Investments Limited (a wholly owned subsidiary of Tata Steel Limited w.e.f May 8, 2015), do not carry any voting rights. Tata AIA Life Insurance Company Limited is not considered part of the Promoter Group holds 22,13,620 Ordinary Shares representing 0.18% of the paid-up Ordinary Share Capital. Accordingly, holding of Tata AIA Life Insurance Company Ltd. is included under Public Shareholding under the head 'Institutions'-Insurance Companies. During the quarter ended June 30, 2018, the two Promoter Group Companies - Sir Ratan Tata Trust and Sir Dorabji Tata Trust had sold their entire holdings in Tata Steel Limited. Hence their holdings are 'Nil'. Tata Sons Private Limited (TSPL) holds 32.93% in Tata Steel Limited as on December 31, 2020 and Tata Steel Limited is an associate of TSPL. TSPL being a Core Investment Company registered with RBI, is exempted under the Significant Beneficial Ownership (SBO) Rules. The Company does not have any other Significant Beneficial Owner under SBO Rules to be reported in the shareholding pattern as on December 31, 2020.

Note:
(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
							(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)	(XIII)		(XIV)	
							No of Voting Rights								Total as a % of Total Voting rights			No.
(I)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	(a)	(b)	(a)	(b)					
(1) Institutions																		
(a) Mutual Funds/UTI	78	115,837,269	5,143,604	0	120,980,873	10.05	115,837,269	1,287,989	117,125,258	10.22	0	0.00	0	0.00	NA	120,957,060		
i Icici Prudential - Various Mutual Funds	1	18,598,916	2,134,918	0	20,733,834	1.72	18,598,916	534,597	19,133,513	1.67	0	0.00	0	0.00	NA	20,733,834		
ii HdFc Trustee Company Limited	1	15,943,110	1,965,234	0	17,908,344	1.49	15,943,110	492,107	16,435,217	1.43	0	0.00	0	0.00	NA	17,908,344		
iii Sbi - Various Mutual Funds	1	13,482,168	0	0	13,482,168	1.12	13,482,168	0	13,482,168	1.18	0	0.00	0	0.00	NA	13,482,168		
iv Nippon Life India Trustee Ltd	1	12,744,880	0	0	12,744,880	1.06	12,744,880	0	12,744,880	1.11	0	0.00	0	0.00	NA	12,744,880		
(b) Venture Capital Funds -	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(c) Alternate Investment Funds	5	1,203,943	0	0	1,203,943	0.10	1,203,943	0	1,203,943	0.11	0	0.00	0	0.00	NA	1,203,943		
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(e) Foreign Portfolio Investors	582	201,633,750	1,549,149	0	203,182,899	16.87	201,633,750	387,905	202,021,655	17.63	0	0.00	0	0.00	NA	203,168,204		
(f) Financial Institutions/ Banks	168	1,168,918	360	0	1,169,278	0.10	1,168,918	89	1,169,007	0.10	0	0.00	0	0.00	NA	1,031,339		
(g) Insurance Companies	37	186,395,015	1,367,407	0	187,762,422	15.59	186,395,015	342,405	186,737,420	16.30	0	0.00	0	0.00	NA	187,761,422		
(i) Life Insurance Corporation of India	1	115,140,551	0	0	115,140,551	9.56	115,140,551	0	115,140,551	10.05	0	0.00	0	0.00	NA	115,140,551		
(ii) Icici Prudential Life Insurance Company Ltd.	1	16,333,061	0	0	16,333,061	1.36	16,333,061	0	16,333,061	1.43	0	0.00	0	0.00	NA	16,333,061		
(iii) Sbi Life Insurance Co. Ltd	1	15,416,907	0	0	15,416,907	1.28	15,416,907	0	15,416,907	1.35	0	0.00	0	0.00	NA	15,416,907		
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
(i) Foreign Portfolio Investments - Individual	1	892	0	0	892	0.00	892	0	892	0.00	0	0.00	0	0.00	NA	892		
Sub-Total (B)(1)	871	506,239,787	8,060,520	0	514,300,307	42.71	506,239,787	2,018,388	508,258,175	44.36	0	0.00	0	0.00	NA	514,122,860		
Central Government/ State Government(s)/ President of India	8	3,040,892	0	0	3,040,892	0.25	3,040,892	0	3,040,892	0.27	0	0.25	0	0.00	NA	2,939,495		
Sub-Total (B)(2)	8	3,040,892	0	0	3,040,892	0.25	3,040,892	0	3,040,892	0.27	0	0.25	0	0.00	NA	2,939,495		
(3) Non-institutions																		
(a) Individuals -	832,787	181,852,316	23,585,103	0	205,437,419	17.06	181,852,316	5,851,313	187,703,629	16.38	0	0.00	0	0.00	NA	191,125,729		
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	832,380	158,832,774	14,565,773	0	173,398,547	14.40	158,832,774	3,592,815	162,425,589	14.17	0	0.00	0	0.00	NA	159,934,236		
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	407	23,019,542	9,019,330	0	32,038,872	2.66	23,019,542	2,258,498	25,278,040	2.21	0	0.00	0	0.00	NA	31,191,493		
(b) NBFCs registered with RBI	14	39,413	523	0	39,936	0.00	39,413	129	39,542	0.00	0	0.00	0	0.00	NA	39,936		
(c) Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
Overseas Depositories (holding DRs) (balancing figure)	1	0	0	10,251,861	10,251,861	0.85	10,251,861	0	10,251,861	0.89	0	0.00	0	0.00	NA	10,251,861		
i Citibank N.A. New York, Nyadr Dept	1	0	0	10,251,861	10,251,861	0.85	10,251,861	0	10,251,861	0.89	0	0.00	0	0.00	NA	10,251,861		
(e) Any Other (specify)-	30,508	49,650,284	7,047,643	0	56,697,927	4.71	49,650,284	1,762,330	51,412,614	4.49	0	0.00	0	0.00	NA	56,243,827		
i NRI - With and without Repatriation	13,436	6,398,391	253,930	0	6,652,321	0.55	6,398,391	63,274	6,461,665	0.56	0	0.00	0	0.00	NA	6,417,302		
ii Trust	79	18,652,240	223,485	0	18,875,725	1.57	18,652,240	55,953	18,708,193	1.63	0	0.00	0	0.00	NA	18,859,783		
a NPS Trust - Various Trust Accounts	1	13,328,514	219,541	0	13,548,055	1.13	13,328,514	54,974	13,383,488	1.17	0	0.00	0	0.00	NA	13,548,055		
iii IEPF ACCOUNT	1	4,393,633	0	0	4,393,633	0.36	4,393,633	0	4,393,633	0.38	0	0.00	0	0.00	NA	4,393,633		
iv HUF	13,007	5,564,773	1,506,459	0	7,071,232	0.59	5,564,773	375,521	5,940,294	0.52	0	0.00	0	0.00	NA	7,069,422		
v Clearing Member/Clearing House	600	6,184,446	984,759	0	7,169,205	0.60	6,184,446	246,473	6,430,919	0.56	0	0.00	0	0.00	NA	7,169,205		
vi LLP/LLP-DR	132	259,186	2,267,149	0	2,526,335	0.21	259,186	567,692	826,878	0.07	0	0.00	0	0.00	NA	2,526,335		
vii Director and Their Relatives	5	203,813	244	0	204,057	0.02	203,813	60	203,873	0.02	0	0.00	0	0.00	NA	204,057		
viii Bodies Corporate	3,237	7,702,370	1,806,329	0	9,508,699	0.79	7,702,370	452,034	8,154,404	0.71	0	0.00	0	0.00	NA	9,307,370		
ix Foreign National	7	2,503	161	0	2,664	0.00	2,503	40	2,543	0.00	0	0.00	0	0.00	NA	2,664		
x Foreign Bodies - DR	4	288,929	5,127	0	294,056	0.02	288,929	1,283	290,212	0.03	0	0.00	0	0.00	NA	294,056		
xi National	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
xii Overseas Corporate Bodies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0		
Sub-Total (B)(3)	863,310	231,542,013	30,633,269	10,251,861	272,427,143	22.62	241,793,874	7,613,772	249,407,646	21.77	0	0.00	0	0.00	NA	257,661,353		
Total Public Shareholding	864,189	740,822,692	38,693,789	10,251,861	789,768,342	65.59	751,074,553	9,632,160	760,706,713	66.39	0	0.00	0	0.00	NA	774,723,698		
Note:																		
(1)	PAN would not be displayed on website of Stock Exchange(s).																	
(2)	The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																	
(3)	W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																	

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form				
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)	
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total											
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0				
(a)	Name of DR Holder (if available)																				
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0			
Total Non-Promoter- Non Public		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		NA			0			

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,